

# Principles for Responsible Investment

Shareholders & Investors Forum - Zimbabwe



# FIXING THE INVESTMENT CHAIN

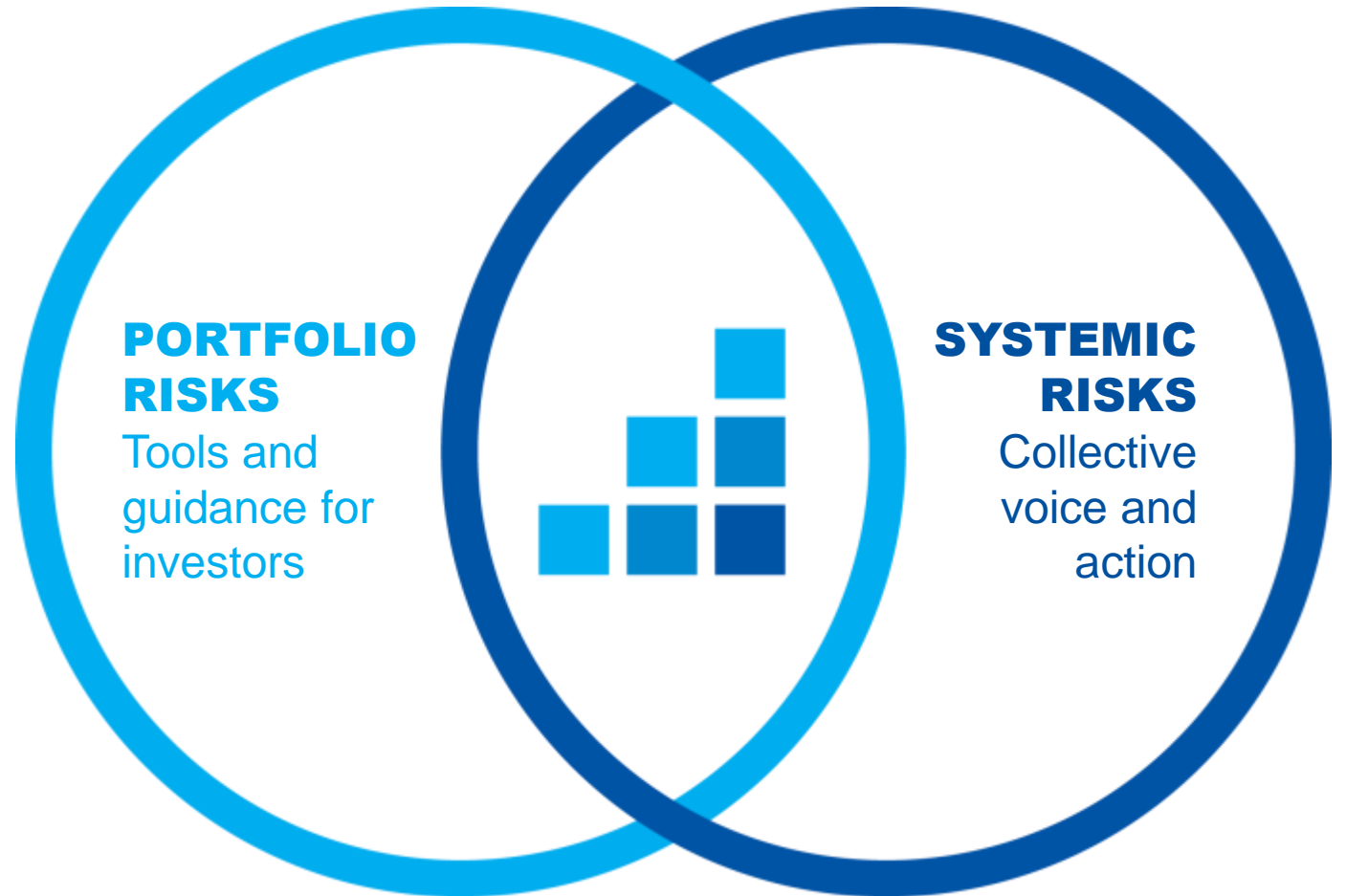


# A UNIQUE OFFER

**WORLD'S LEADING INVESTOR NETWORK**  
for implementation support,  
collaboration and discussion  
on ESG issues

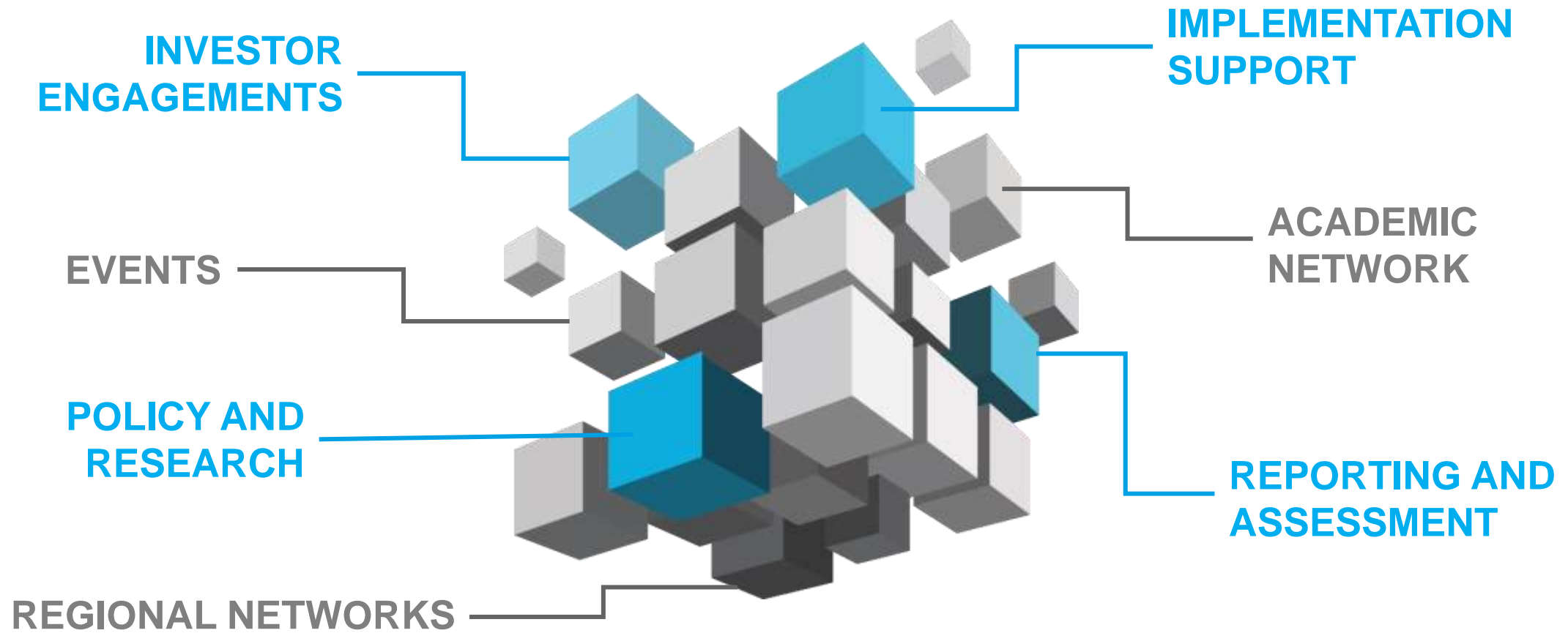
**REPORTING AND TRANSPARENCY**  
on ESG processes and  
performance through the  
annual Reporting and  
Assessment process

**INDEPENDENT LINK**  
between institutional  
investors globally and  
the United Nations



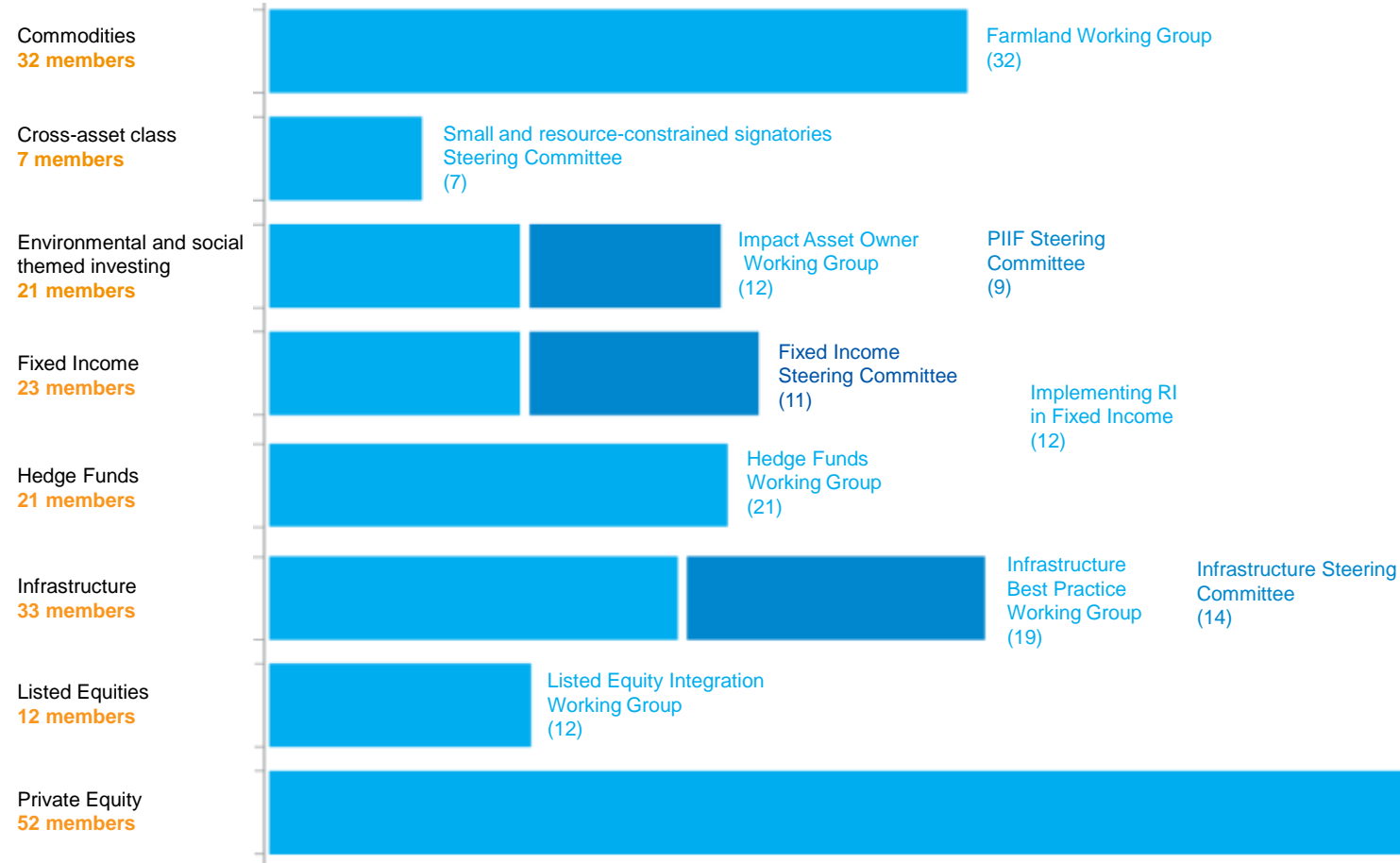
# HOW THE PRI INITIATIVE SUPPORTS SIGNATORIES

Educating and empowering signatories put the six principles into practice



# Implementation support

## PRI applicable across asset classes



# Investor Engagements

## CLEARINGHOUSE PLATFORM:

A tool to allow signatories to collaborate and pool resources to effectively engage with companies, stakeholders and policy makers on ESG issues across different sectors and regions.

## PRIORITY ENGAGEMENTS LED BY THE PRI:



Water risks, fracking, emissions reduction targets, sustainable palm oil



Labour standards in the agricultural supply chain, employee relations, human rights in the extractive sector



Director nomination process, anti-corruption, integrating ESG issues into executive pay

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**ESG DISCLOSURE** Integrated Reporting, Sustainable Stock Exchanges and ESG Investor Briefings

# ESG Disclosure and communication

Contact: Valeria.piani@unpri.org



*Coordination of the*

## **THE INTEGRATED REPORTING INVESTOR NETWORK**

*to provide feedback to the final development of the IIRC  
framework*

**OPEN TO JOIN ON AN ONGOING BASIS**

## **SUSTAINABLE STOCK EXCHANGE INITIATIVE**

*engaging with stock exchanges to promote better  
ESG disclosure and performance by listed companies  
(in collaboration with UNGC, UNCTAD and UNEP FI)*

**OPEN TO JOIN ON AN ONGOING BASIS**

## **ESG INVESTOR BRIEFING**

*communicating ESG value drivers to  
investors*

**OPEN TO JOIN ON AN ONGOING BASIS**

# Resources Available

- **INTRODUCTORY GUIDE TO COLLABORATIVE ENGAGEMENTS**

Case studies, tips and lessons learnt from successful collaborations

- **CHARTER FOR COLLABORATIVE ENGAGEMENTS COORDINATED BY THE PRI**

roles and responsibilities of investors and PRI Secretariat





# PRI Events

Regional and internationally

- **PRI Southern Africa Network meetings**

12 Jun 2014 - Implementation of Responsible Investment in Southern Africa

15 Oct 2014 – Collaborative engagement

- **PRI Academic Network Conference - Canada**

22 - 24 September 2014

- **PRI in Person – Canada**

24 -26 September 2014

# PRI Regional Networks

## Global network of signatories

- Australia
- Brazil & Latin America
- Canada
- Europe
- Japan
- Nordic
- **Southern Africa (SA)**
- USA

# PRI Southern Africa Network

- Launched in May 2009 with support from the [GEPF](#)
- Provided support for the establishment of the Code for Responsible Investment in South Africa ([CRISA](#))
- Provided support for an initiative by the IFC and the POA with a project on sustainable investments ([Sustainable Returns for Pensions and Society](#))
- **Current working groups include:**
- **Engagement Working Group** : Focused on the regulatory challenges currently facing collaborative shareholder [engagement in South Africa](#).
- **Awareness and Recruitment Working Group** Focused on raising awareness about the materiality of ESG issues to investment processes and recruiting new signatories

# Southern Africa Network

- 46 Signatories in RSA
- 3 Signatories in Mauritius
- 1 Signatory in Namibia
- 1 Signatory in Botswana
- Other countries in Africa
  - 1 Ghana
  - 2 Nigeria

# REPORTING & ASSESSMENT = PUBLIC TRANSPARENCY & ACCOUNTABILITY

## THE REPORTING FRAMEWORK CAPTURES:

- How signatories approach responsible investment
- How ESG factors are taken into account if external managers are used
- How ESG issues are incorporated into investment processes
- How ESG issues are addressed in active ownership activities

## BENEFITS FOR SIGNATORIES:

- Self-audit tool, ready-made bespoke responsible investment reports
- Standard indicators benefit the wider market



# Policy and Research Workstream

Three initial projects of the workstream will:

1

Encourage dialogues between signatories, policy makers and regulators to identify, develop and promote policy frameworks that encourage long-term responsible investment.

2

Develop guidance to support institutional investors to facilitate long-term responsible investment mandates, through case-studies, thought leadership and research.

3

Collaborate with other initiatives that have similar ambitions to ensure that we work together to maximise our outcomes.

## Steering Committee:

- Alliance Trust
- CalPERS
- APG Asset Management
- Cbus Superannuation Fund
- Environment Agency Pension Fund
- Caisse des dépôts et consignations (CDC)
- MN Services
- BCIMC on behalf of British Columbia Municipal Pension Plan

# MOVING FROM AWARENESS TO IMPACT



# Thank you

For more information please contact us:

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# Appendix



# Annual Fee 2014/15

The annual signatory fee is scaled depending on signatory category, fund size and type:

Investor signatories		Service provider signatories	
AUM (US\$ billions)	Annual fee (£)	Employees	Annual fee (£)
>10	7,495	> 200	7,495
5 – 9.99	5,680	51 – 200	5,680
1 – 4.99	2,615	26 – 50	2,615
0.1 – .99	755	11 – 25	755
0 – .099	415	< 10	415